

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.
530 Virginia Road, P.O. Box 9133
Concord, MA 01742-9133

Telephone: (978) 341-0036

Facsimile: (978) 341-0136

FACSIMILE COVER SHEET

**RECEIVED
CENTRAL FAX CENTER**

OCT 19 2006

Examiner: Office of Initial Patent Examination
Customer Service Center

Date: October 19, 2006

Client Code: 3022

Facsimile No.: 571-273-8300

From: Eric M. Balicky, Ph.D.
Registration No. 57,020

Subject: Request for Corrected Filing Receipt

Docket No.: 3022.1004-000

Applicants: Jocrg Schneider, *et al.*

Application No.: 10/088,677

371(c) Date: May 31, 2002

Number of pages including this cover sheet: 8

Please confirm receipt of facsimile: Yes X No

Sir:

Please correct the Power of Attorney section to reflect patent practitioners associated with Customer No. 021005.

Privileged and Confidential - All information transmitted hereby is intended only for the use of the addressee(s) named above. If the reader of this message is not the intended recipient or the employee or agent responsible for delivering the message to the intended recipient(s), please note that any distribution or copying of this communication is strictly prohibited. Anyone who received this communication in error is asked to notify us immediately by telephone and to destroy the original message or return it to us at the above address via first class mail

@PFDesktop\ ODMA/MHODMA/HBSR05.iManagc.655303.1
 A/C/EMB/ml/(dc)
 October 18, 2006

PATENT APPLICATION
 Docket No.: 3022 1004-000

RECEIVED
 CENTRAL FAX CENTER

OCT 19 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Joerg Schneider, Sarah C. Gilbert, Carolyn M. Hannan, and Adrian V.S. Hill
 Application No.: 10/088,677 Group: 1645
 371(c) Date: May 31, 2002 Examiner: Robert A. Zeman
 Confirmation No.: 4825
 For: USE OF REPLICATION-DEFICIENT ADENOVIRAL VECTOR TO
 BOOST CD8+ T CELL IMMUNE RESPONSE TO ANTIGEN

CERTIFICATE OF MAILING OR TRANSMISSION	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or is being facsimile transmitted to the United States Patent and Trademark Office on:	
October 19, 2006	<i>Marianne Lentini</i>
Date	Signature
MARIANNE LENTINI	
Typed or printed name of person signing certificate	

REQUEST FOR CORRECTED FILING RECEIPT
FOR UTILITY APPLICATION

Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

Sir:

Applicants' agent hereby requests that the following items of information be corrected in the Corrected Filing Receipt for the subject application received in this office on August 16, 2006.

A copy of a recently filed Revocation of Power of Attorney with New Power of Attorney and Change of Correspondence Address and Statement Under 37 C.F.R. § 3.73(b) is submitted herewith.

10/088,677

-2-

The errors and corrections appear below.

In the Power of Attorney section please delete:

"Frank Presta--19828	James Berquist--34776
Harold Burnam Jr--29366	B Sadoff--36663
Michelle Lester--32331	Updeep Gill--37334
Mark Nusbaum--32348	Joseph Rhoa--37515
Mary Wilson--32955	Gary Tanigawa--43180"

and insert

---The patent practitioners associated with Customer No. 021005---


Enclosed are copies of the Filing Receipt with changes noted in red and a copy of the Revocation of Power of Attorney with New Power of Attorney and Change of Correspondence Address and Statement Under 37 C.F.R. § 3.73(b).

Pursuant to instructions in the February 29, 2000 O.G., we hereby request that the errors which are identified above be corrected in the captioned application to which this request for correction is directed. It is understood that the Patent Office will issue an automatically-generated, corrected Filing Receipt in this and, if applicable, any other affected applications.

Please charge Deposit Account No. 08-0380 for any fees that may be due in this matter.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By 

Eric M. Balicky

Registration No.: 57,020

Telephone: (978) 341-0036

Facsimile: (978) 341-0136

Concord, MA 01742-9133

Date: *October 19, 2006*

110-F 80/83 d 912-1 2420-341-0242

Oct-19-06 12:15pm From-HBSR

COPY

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

APPL NO	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/088,677	05/31/2002	1645	1020	3022 1004-000	3	8	2

021005
 HAMILTON, BROOK, SMITH & REYNOLDS, P.C.
 530 VIRGINIA ROAD
 P.O. BOX 9133
 CONCORD, MA 01742-9133

CONFIRMATION NO. 4825
 CORRECTED FILING RECEIPT
 OC000000019999473
 OC000000019999473

Date Mailed 08/14/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Joerg Schneider, Oxford, UNITED KINGDOM;
 Sarah C Gilbert, Oxford, UNITED KINGDOM;
 Carolyn M Hannan, Scone, AUSTRALIA;
 Adrian V S Hill, Oxford, UNITED KINGDOM;

Power of Attorney:

~~Frank Presta-19828~~ ~~James Berquist-34776~~
~~Harold Burnam Jr-29306~~ ~~B Sadeff-36663~~
~~Michelle Lester-32334~~ ~~Updeep Gill-37334~~
~~Mark Nusbaum-32348~~ ~~Joseph Rhoads-37545~~
~~Mary Wilson-32955~~ ~~Gary Tanigawa-43480~~

The patent practitioners associated with Customer No. 021005
 Domestic Priority data as claimed by applicant

This application is a 371 of PCT/GB00/03601 09/20/2000

Foreign Applications

UNITED KINGDOM 9922361.2 09/21/1999
 If Required, Foreign Filing License Granted: 08/11/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/088,677**

Projected Publication Date: None. application is not eligible for pre-grant publication

RECEIVED

AUG 16 2006

HAMILTON, BROOK

Oct-19-06 12:15pm From-HBSR

PAGE 02/03

441865280831

COPY

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

**REVOCATION OF POWER OF
ATTORNEY WITH
NEW POWER OF ATTORNEY
and
CHANGE OF CORRESPONDENCE
ADDRESS**

Application/Patent Number	10/088,671
(371)(c) Date	May 31, 2002
First Named Inventor/Patentee	Joey Schneider
Confirmation Number	4825
Group Art Unit	4065
Examiner Name	Zeman, Robert A.
Attorney/Doctor Number	3022.1002.1100

RECEIVED
CENTRAL FAX CENTER

OCT 19 2006

Title	Use of Replication-Deficient Adenoviral Vector to Boost CD8 ⁺ T Cell Immune Response to Antigen
-------	--

I hereby revoke all previous powers of attorney given in the above-identified application.

[] I hereby appoint the following practitioner(s): [Not to exceed 10]

OR

[X] I hereby appoint the practitioners associated with the Customer Number: 021005

Please change the correspondence address for the above-identified application to:

[X] Customer Number 021005

Hamilton, Brook, Smith & Reynolds, P.C.

520 Virginia Road

P.O. Box 9135

Concord, Massachusetts 01742-9133

11 Other

Please direct all telephone calls and facsimiles to:

Name Anne J. Collins, Esq.

TeL N6: 978-841-0036

Fax No. 978-341-0136

I am the:

[] Applicant/Inventor.

[X] Authorized representative of the Assignee, Isis Innovation Limited, of the entire interest. See 37 C.F.R. § 3.73. A Statement under 37 C.F.R. §3.73(b) is enclosed.

1 } Authorized representative of the Assignee, [FILL IN WITH NAME OF ASSIGNEE], together with [FILL IN
2 WITH NAME OF ASSIGNEE], of the entire interest. A Statement under 37 C.F.R. § 3.73(b) is enclosed.

SIGNATURE of Applicant or Assignee of Record

Signature

A.A. Naf

Name & Title

Linda Naylor, Director of Life Sciences

Date _____

HERB J

217106

0973-086001-01TMA/MXUDM/VIBKTBZ JM JUSJC.6382R:A

BEST AVAILABLE COPY

21/07/2006 13:56

441865

31

ISIS INNOVATION L

PAGE 23/82

441865280831

COPY

Docket No. 50221004-000

STATEMENT UNDER 37 CFR 5.3.73(b)

Applicant/Patentee: Isis Innovation Limited, Sarah C. Gilbert, Carolyn M. Hampton and Adrian V.S. Hill
 Application No./Patent No.: 10/085,677 371(c) Date: May 31, 2002
 Invented: Use of Replication Deficient Adenoviral Vector to Block CD4+ T Cell Immune Response
Isis Innovation Limited Corporation
(Name of Assignee) (Type of Assignee: C.C. corporation, partnership, university, government or other)

states that it is

- A. ☒ the assignee of the entire right, title and interest in the patent application/patent identified above; or
 B. ☐ an assignee together with ☐ of the entire right, title and interest in the patent application/patent identified above.

The right, title and interest of the above-named assignee in the patent application/patent identified above is established by virtue of:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel 013115 Frame 0203-0209, or a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s) of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
 The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or a copy thereof is attached.
2. From: _____ To: _____
 The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or a copy thereof is attached.
3. From: _____ To: _____
 The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or a copy thereof is attached.

- ☐ Additional documents in the chain of title are listed on a supplemental sheet.

As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature: L. A. Naylor

Name: Linda Naylor

Title: HEAD OF Isis
Director of Life Sciences

Date: 21/7/06

(If Document is a Draft, it should be marked as such on the front cover)

Non-Publication Request: No

Early Publication Request: No

Title

Use of replication deficient adenoviral vector to boost cd8+t cell immune response to antigen

Preliminary Class

424

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but does not result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where

the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)), the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).